

**JIM McCAULEY**  
COUNTY CLERK-RECORDER-REGISTRAR OF VOTERS

**RYAN RONCO**  
ASSISTANT RECORDER-REGISTRAR



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## **OFFICE OF ELECTIONS - JIM McCAULEY**

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www.placerelections.com • election@placer.ca.gov

## **CERTIFICATE OF CANVASS OF VOTE**

I, JIM McCAULEY, County Clerk-Recorder-Registrar of Voters, County of Placer, State of California, do hereby certify that in pursuance to the provision of Elections Code Section 15300, et seq., I did canvass the results of the votes cast in the Statewide Direct Primary Election held in said County on *June 3, 2014*, for measures and contests that were submitted to the vote of the voters, and that the Statement of Votes Cast to which this certificate is attached, is full, true and correct.

(See Attached)

IN WITNESS WHEREOF I have set my hand and affixed my official seal on this **23<sup>rd</sup> day of June, 2014** in accordance with the laws of the State of California.

A handwritten signature in cursive script, reading "Jim McCauley", is written over a horizontal line.

JIM McCAULEY  
PLACER COUNTY CLERK-RECORDER-  
REGISTRAR OF VOTERS  
State of California

**JIM McCaULEY**  
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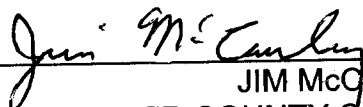
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STATE OF CALIFORNIA }  
COUNTY OF PLACER }      ss.

Pursuant to the statewide voter registration list requirements set forth in the Help America Vote Act of 2002 (HAVA) (Pub. L. No. 107-252 (2002) 116 Stat. 1666, 42 U.S.C. § 15483), I, Jim McCauley, Registrar of Voters for the County of Placer, State of California, hereby certify that I complied with all provisions of Chapter 2 of Division 7 of Title 2 of the California Code of Regulations for the state election held on the 3<sup>rd</sup> day of June, 2014 in the County of Placer, State of California.

I hereby set my hand and official seal this **23<sup>rd</sup> day of June, 2014** at the County of Placer.

  
\_\_\_\_\_  
JIM McCAULEY  
PLACER COUNTY CLERK-RECORDER-  
REGISTRAR OF VOTERS  
State of California

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### **CERTIFICATE OF LOGIC AND ACCURACY TEST**

I, JIM McCAULEY, County Clerk-Recorder-Registrar of Voters, County of Placer, State of California, do hereby certify that on **May 22, 2014** a Logic and Accuracy test was completed in conjunction with the **June 3, 2014 Statewide Direct General Primary Election** and the results were satisfactory.

IN WITNESS WHEREOF, I have set my hand and affixed my official seal on this **23<sup>rd</sup>** day **of June, 2014**, in accordance with the laws of the State of California.

A handwritten signature in black ink that reads "Jim McCauley".

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JIM McCAULEY  
PLACER COUNTY CLERK-RECORDER-  
REGISTRAR OF VOTERS  
State of California



**OFFICE OF**

**JIM McCAULEY**  
County Clerk-Recorder-  
Registrar

**RYAN RONCO**  
Assistant Registrar-Recorder

**PLACER COUNTY CLERK – RECORDER –  
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**Report of 1 percent manual tally count of random precincts per  
California Election Code Section 15360(e)**

I, JIM McCAULEY, County Clerk-Recorder-Registrar of Voters, County of Placer, State of California, do hereby certify that on June 16, 2014, a one percent manual tally was conducted and completed in conjunction with the June 3, 2014, Statewide Direct Primary Election.

The California Elections Code requires each local election official to conduct a manual count of all contests on ballots cast in a randomly-selected one percent of the Election Day voting precincts in order to verify the accuracy of the machine count. This manual tally occurs during the 28-day vote-canvassing period prior to official certification of election results and augments extensive pre-election testing activities to demonstrate the integrity of the vote tabulation system.


This report addresses the manual-count to machine-count comparison of a randomly-selected one percent of the AccuVote Optical Scan units deployed on Election Day. With 226 polling place precincts and 75 vote by mail precincts, Placer County would need to randomly select 3 (three) precincts to comply with Elections Code Section 15360(e). Historically, however, Placer County draws a random precinct from each of our five supervisorial districts, exceeding the legal requirement. The randomly selected precincts were drawn from slips of paper on May 20, 2014, and contained the following precinct numbers: 160609, 260321, 361501, 460603, and 512705. In order to check accuracy for the vote by mail ballots precinct number 361501 became the vote by mail precinct. Further, to cover the remaining races on the ballot the following precincts were randomly selected: 352110; and mail ballot precinct 6512607.

Seven (7) of elected precincts/races showed exact matching tallies between the manual tally and the election summary report.

The entire manual tally was conducted in public view by hand without the use of electronic equipment, no individuals who performed the manual tally at any time during the manual tally process were informed of the corresponding machine tally results, and no poll workers were assigned to participate in the manual tally. Further, tally logs of the manual tally process were kept and have been made available to the public.

An election notice was published in the legal section of the newspaper to comply with the notice requirements. Lastly, the entire manual tally process was completed prior to certification of the Presidential Primary Election.

IN WITNESS WHEREOF I have set my hand and affixed my official seal on this 16<sup>th</sup> day of June 2014, in accordance with the laws of the State of California.

  
JIM McCAULEY  
Placer County Clerk-Recorder-Registrar of Voters  
State of California



OFFICE OF

**PLACER COUNTY CLERK – RECORDER –  
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**JIM McCAULEY**  
County Clerk–Recorder–Registrar

June 11, 2014

**RYAN RONCO**  
Assistant Recorder-Registrar

Honorable Debra Bowen,  
California Secretary of State  
1500 11th Street  
Sacramento, California 95814

Dear Secretary Bowen:

We are pleased to forward the following report on Placer County voting systems security procedures implementation for the June 3, 2014, Statewide Direct Primary Election. This report accompanies the County's certified Statement of Vote for the Statewide Direct Primary Election which was completed June 19, 2014.

#### Background

The County deployed one AccuVote OS (optical scan) and one AccuVote TSX (touch screen) voting equipment unit in each of the 226 county precinct poll locations<sup>1</sup> for the June 3, 2014, Statewide Direct Primary Election as permitted by the Conditional Recertification for Diebold Election Systems (Premier Elections Solutions, Inc.), now Dominion Voting Systems. As utilized by Placer County, the AccuVote TSX machines are intended for use only by voters with specific needs and supplement the County's main AccuVote OS (optical scan) voting system, which continues to be used as the primary precinct and vote-by-mail voting system in the County.

All of the County's previously purchased AccuVote TSX's were prepared for the Election in accordance with approved Guidelines and Requirements, including reinstallation of software and pre-election diagnostic testing, memory card creation and installation, security seal logging and installation, and post-election diagnostics if needed. These same procedures and requirements were also followed for the County's AccuVote OS machines, and in addition, the County's GEMS servers had all firmware and software reinstalled prior to the Election from the approved source media.

#### Training

A total of 1,062 individuals attended AccuVote TSX, AccuVote OS and Election Day Poll or Election Night Procedure trainings for the June Election, plus County Election staff. Those trained included persons who served as Precinct Inspectors and Judges at the polls (454 individuals) and Election Day Rovers (70 individuals). An additional 517 poll worker Clerks

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<sup>1</sup> Excludes mail ballot only precincts.

attended these regular training sessions, as well, plus 21 persons received training on receiving center procedures for Election night.

In addition to training in specific procedures required for conducting the June Statewide Direct Primary Election and voting equipment unit setup requirements, Placer poll worker training materials and procedure manuals included all of the following:

- Chain-of-custody procedures required for voting equipment and ballots;
- Secure storage of voting equipment while in the poll worker's possession;
- Seal placement and procedures for verification of seal integrity, including signing of logs;
- Set up of polls, including placement and observation of voting equipment;
- Observation of activity that could indicate tampering or an attempt at tampering;
- The Voter Bill of Rights as set forth in section 2300 of the Elections Code;
- Under state law, Elections Code section 14201(d) requires counties to post and translate voting materials (facsimile ballots and instructions) in precincts where 3% of the voting population are members of a single-language minority group and have been identified as lacking sufficient skills in English to vote without assistance. Placer County had a total of 118 precincts (95 precincts Spanish, 14 precincts Tagalog, and 9 precincts that had combined Spanish and Tagalog) that met or exceeded the 3% threshold pursuant to notification from the Secretary of State for the June 2014 Election. Placer County provided translated copies of the official ballot that included instructions on how to vote the ballot in those precincts for voter reference. Also provided at the precincts were translated State Voter Information Guides for voter reference. Placer County made good faith efforts to recruit bilingual poll workers for the identified precincts who wore translated language buttons that read "Need Assistance? Please Ask Me.". Placer County will continue to work with local organizations and with the Secretary of State to assist with the recruitment of bilingual poll workers;
- The purpose served by the AccuVote-TSX Voter Verified Paper Audit Trail (VVPAT), the importance of its review by voters, and how to handle problems such as paper jams;
- That poll workers must not record in any manner neither the time nor the order in which voters vote in a polling place;
- How to maintain voter privacy at the polling place, including privacy sleeves for persons voting by optical scan ballots and for persons voting on the AccuVote-TSX;
- The public's right to inspect voting equipment and security seals, in a time and manner that does not interfere with the conduct of the election or the privacy of any voter, and how to handle requests for such inspection;
- How to handle equipment failure or lack of sufficient paper ballots in a polling place and how to ensure continuity of the election in the event of such a failure;
- How to properly log all events and issues related to voting equipment in the polling place, including voter complaints of malfunctioning equipment, in the poll event log;

- That poll workers are not to access any AccuVote-TSX AVPM audit records and that the paper roll security canister, which will contain the AVPM audit records, are to be sealed at the polls immediately upon being installed into the printer module of the TSX unit; and
- Printing of two copies of the accumulated vote results from the AccuVote-OS precinct poll voting unit, upon close of the polls, verification signing of the results by all poll workers, and posting of the results at the polls is required.

### Election Day

On Election Day, the County Elections Information Technology Help Desk received a total of 132 telephone calls for technical assistance (for 140 county poll locations). In the November Presidential General Election 106 such calls were received for 138 county poll locations.

Of the 132 above mentioned calls for the June Statewide Direct Primary Election, 72 were related to the AccuVote TSX voting equipment units, of which 21 were poll worker errors, 15 printer problems, and the remaining calls consisted of hardware problems and seal replacements on canisters for the printer. The number of calls related to the AccuVote OS voting equipment was 60, of which 28 calls were hardware problems, 11 were related to ballot jams and printer issues, and the remaining calls consisted of poll worker errors. In addition, our Rovers in the field assisted the poll workers with issues such as replacement of canisters and security seals due to printer and printer housing problems on the AccuVote TSX units and general procedural questions. Replacement seals are documented on the security seal logs.

Non-voting equipment related problem calls were handled by other County Elections staff. The volume of calls received on Election Day was very light throughout the day until the close of polls. Extra signage was delivered to a polling place and a replacement cell phone for a poll worker was delivered.

Of the total voting equipment units deployed on Election Day, 2 AccuVote TSX units, 3 printer housing units for the TSX, and 22 OS units required replacement in the field. The number of TSX units requiring replacement were the same as in the November 2012 Election. The number of OS voting equipment units requiring replacement was slightly higher than in the November 2012 Presidential General Election. The voting equipment problems for the June Election will continue to be further analyzed to attempt to identify training issues and/or any other issues that may be able to be remediated for future elections.

Placer County issued the initial reports by CALVOTER at 9:14 p.m. for the Statewide Direct Primary Election, and concluded its Election Night semi-official election summary report to the State (by CALVOTER and fax) at approximately 2:10 a.m. on June 4, 2014. In accordance with the Conditional Recertification Requirements, AccuVote OS and TSX results were not transmitted from poll locations or regional receiving centers by modem. All voting equipment was brought to the County's Auburn election facility for checking of security seals and for central vote tabulation of poll ballots cast on the OS units.

### Utilization

A total of 70,016 ballots were cast in the June Statewide Direct Primary Election, representing 34.86% of the total 200,829 registered voters for the election. Of the voted ballots, 52,452 were

vote-by-mail ballots, 3,931 were mail ballot precincts ballots, 13,633 were optical scan ballots cast either at the polls (20 were TSX cast ballots at the polls).

### Security Procedures

The County followed the security procedures set forth in the Secretary of State's Conditional Recertification Requirements, approved Guidelines and Procedures issued by Premier Election Solutions, Inc., the County's Sept. 28, 2007 Response to the Conditional Recertification, and the Secretary of State's Procedures related to tabulating precinct votes issued. Specifically:

- A. No additional software developed by Diebold/Premier/ES&S, or other systems modifications, other than that specifically listed in the voting system vendor's certificate, plus Adobe Acrobat software used for ballot layout development, were installed on the County's GEMS servers running GEMS v. 1.18.24.
- B. The County reinstalled all approved software and firmware on its GEMS servers and the AccuVote TSX units had software reinstalled from secure, authorized media sources. Any voting machine that goes to repair has the firmware and software reinstalled.
- C. The GEMS servers are located in a secure, card key controlled, camera surveilled room within the County Office of Elections; additionally, the Office of Elections is located within a controlled access County building.
- D. Placer adheres to the recommended procedures and system configurations set forth in the Premier *Client Security Policy* and *GEMS Server Configuration Guide* for its GEMS servers as established and promulgated by Premier Election Solutions, Inc., and also by the Secretary of State.
- E. The County conducted the required logic and accuracy testing for ballot tabulation.
- F. Placer elections staff resets the encryption key utilizing a unique key generated for each election for the AccuVote-TSX prior to programming the units for that specific election.
- G. Placer has established clear separation of duties among Elections staff to enhance internal control over GEMS voting system security.
- H. Poll workers were trained on types and placement of security seals for both the AccuVote OS and TSX units; on seal verification requirements by poll workers; on signing of the "zero" tapes before the election and on the election results tapes by all poll workers; on allowing public observance of seals and equipment at the polls; and on use of poll incident logs.
- I. Required signage regarding the penalties for tampering with voting equipment and/or altering voting results was posted in each voting booth and on or near each TSX unit.
- J. The County did not use any unapproved AccuVote TSX or OS voting equipment models.
- K. All AccuVote TSX and OS units were stored before and after the June Statewide Direct Primary Election in a secure locked and alarmed facility, with access limited to County staff, and which are under camera surveillance.
- L. All AccuVote TSX units had software replaced from the secured, approved media source.



- M. All AccuVote TSX and OS units were pre-election diagnostic tested by County IT staff in secure Election facilities. Post election diagnostics are done on the voting equipment as applicable.
- N. Every AccuVote TSX and OS memory card had a permanent serial number assigned to it. Each memory card was programmed in a secured facility by County Elections staff. Once a memory card was programmed for the Election, it was inserted by Elections staff into its assigned unit and sealed with the serialized, tamper-evident seal, and its serial number was logged into the County's tracking system designed for that purpose. The County also recorded the chain of custody of each memory card and voting equipment unit from the point of programming the memory card for use in the Election through the time of completion of the official canvass.
- O. On Election Day, prior to any ballots being cast on any unit, the integrity of the TSX tamper-evident seals was verified by the precinct officials before opening the compartment containing the unit power switch. The serial number of the seals was also verified against the log provided to the Precinct Inspector and was witnessed by the other precinct officers. The same process was followed for the OS machines, as well. A complete review of all seal logs by County Election staff is still in process.
- P. If it was detected that a seal had been broken prior to unlocking the TSX compartment or OS unit, or if there was a discrepancy between the log and the serial number, the discrepancy was confirmed by one or more of the remaining members of the precinct board, documented, and immediately reported to County Elections. 2 OS units were replaced because a poll worker removed a security seal in error.
- Q. The remaining voting equipment units replaced at the polls were for other reasons. 2 (two) TSX units were replaced; one for a broken leg on the unit and the other was due to a hardware failure. Further, twenty-two (22) OS units were replaced at the polls; 16 due to a hardware failure, 4 due to ballot jams and the 2 remaining OS units was replaced due to the removal of the security seal (mentioned above in P). Once the replacement voting equipment was replaced, any OS ballots were recounted in the new replacement machine; TSX electronic votes cast and captured on the memory card, if any, would have been recounted against the paper audit record for that unit.
- R. Each AccuVote OS and TSX machine was checked Election Night by Elections staff when received back at the Elections Office in Auburn for intact seals and confirmation of security seal and serial numbers. 7 (seven) OS units had a memory card failure and new memory cards were created and ballots were recounted by Elections staff on a different machine. All TSX ballots cast (20 total) were duplicated onto OS ballots by Elections staff during the canvass and tabulated during the canvass.
- S. AccuVote OS and TSX results were not transmitted from poll locations or regional receiving centers by modem per direction from the Secretary of State's Conditional Recertification; instead all voting equipment was brought to the County's Auburn election facility for checking of security seals and central vote tabulation.
- T. The required minimum one percent (of voting precincts) random manual tally was conducted in accordance with Election Code Section 15360(e). The results of these tallies are included in the Statement of Vote, which this memorandum accompanies.

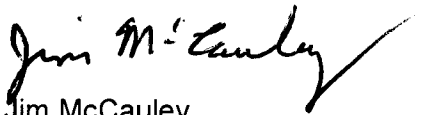
- U. Poll workers did not participate in any audits or recounts involving AccuVote-TSX AVPM or AccuVote-OS audit records for any precinct(s) for which they served as poll workers.
- V. Any significant, adverse issues relating to voting equipment would have been reported to the Secretary of State's Office of Voting Systems Technology Assessment on Election Day, including a complete description of the problem and information on how the issue would have been resolved. However, no such issues arose in Placer County for the June Statewide Direct Primary Election.
- W. Each memory card from the precinct AccuVote OS voting equipment used was loaded into GEMS tabulation server, with verification of each card's upload before the next card was loaded.

#### Conclusion

The County completed the June 3, 2014, Statewide Direct Primary Election under the Conditional Recertification and subsequent requirements of the Secretary of State. Placer County received 13,982 vote-by-mail and provisional ballots on Election Day at the Office of Elections and at Placer County polling locations. This will be recognized as a low voter turnout for a Primary Election in Placer County with low increase in new voter registrations and low numbers of people voting at the polls and by mail. The continued dedication and hard work by Election permanent and temporary staff along with the Placer County poll workers in this election is recognized and appreciated.

Please feel free to contact Ryan Ronco, Assistant Registrar of Voters, or myself directly should you have any questions or require additional information regarding this report.

Sincerely,



Jim McCauley  
Placer County Clerk-Recorder-Registrar of Voters

**JIM McCAULEY**  
COUNTY CLERK-RECORDER-REGISTRAR OF VOTERS

**RYAN RONCO**  
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STATE OF CALIFORNIA }  
COUNTY OF PLACER } ss.

### CERTIFICATE OF FACTS


I, JIM McCAULEY, Clerk-Recorder-Registrar of Voters of the County of Placer, State of California, do hereby certify that on June 25<sup>th</sup>, 2014, I canvassed the votes cast at the **June 3, 2014 Statewide Direct Primary Election** for the **Placer County Superintendent of Schools**.

The results of the official canvass conducted by this office are as follows:

Candidate	Placer County	Nevada County	Total
Gayle Garbolino-Mojica	48,033	1,731	49,764

I further certify that the conduct of the election and canvass of the ballots was, in every respect, in accordance with the election laws of the State of California.

IN WITNESS WHEREOF I have set my hand and affixed my official seal on this **25<sup>th</sup> day of June, 2014**, in accordance with the laws of the State of California.

  
\_\_\_\_\_  
JIM McCAULEY  
PLACER COUNTY CLERK-RECORDER-  
REGISTRAR OF VOTERS  
State of California

